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 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Type a plus sign (+) inside this box ☐

0010PTO Rev. 5/95		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket Number		H 5098 PCT/US	
				First Named Inventor		Naumann, Frank	
<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing				<b>COMPLETE IF KNOWN</b>			
				Application Number			
				Filing Date			
				Group Art Unit			
				Examiner Name			
As a below named inventor, I hereby declare that My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:							
<b>INDOLE/INDOLINE BASED HYBRID DYES AND INDOLE/INDOLINE BASED HYBRID DYE INTERMEDIATE PRODUCTS</b> <i>(Title of the Invention)</i>							
the specification of which <input type="checkbox"/> is attached hereto OR <input checked="" type="checkbox"/> was filed on (MM/DD/YYYY) <u>01/03/2002</u> as United States Application Number or PCT International Application Number <u>PCT/EP02/00013</u> and was amended on (MM/DD/YYYY) _____ (if applicable)							
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.							
I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.							
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO			
101 00 938.0	Germany	01/10/2001	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.							
I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.							
Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.					

Burden Hour Statement: This form is estimated to take 4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the  
 amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO  
 NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington DC 20231

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## DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §3650 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP02/00013	01/03/2002	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

<input type="checkbox"/> Firm Name		Customer Number	or label	
OR				

☒ List Attorney(s) and/or agent(s) name and registration number below.

Name	Registration Number	Name	Registration Number
Stephen D. Harper	33,243		
Glenn E. J. Murphy	33,539		
Steven C. Bauman	33,832		
Gregory M. Hill	31,369		
Mary K. Cameron	34,789		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to:	<input checked="" type="checkbox"/> Customer Number	or label	00423	OR	<input type="checkbox"/> Fill in correspondence address below
--------------------------------------	---	----------	-------	----	---

Name	Gregory M. Hill				
Address	Henkel Corporation				
Address	2500 Renaissance Blvd, Suite 200				
City	Gulph Mills	State	PA	Zip	19406
Country	USA	Telephone	-610-278-4964	Fax	610-278-6548

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A person has been filed for this unsigned inventor

Given Name	Frank	Middle Initial		Family Name	Naumann	Suffix e.g. Jr.	
Inventor's Signature	Frank Naumann				Date	3/6/3	
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Wupperstrasse 3						
Post Office Address							
City	40219 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto(+ inside this box - ☐

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Page 2

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Detlef	Middle Initial		Family Name	Hollenberg	Suffix e.g. Jr.	
Inventor's Signature	<i>Detlef Hollenberg</i>			Date	22.5.03		
Residence: City	Erkrath	State		Country	Germany	Citizenship	Germany
Post Office Address	Fliednerweg 31						
Post Office Address							
City	40699 Erkrath	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Horst	Middle Initial		Family Name	Hoeffkes	Suffix e.g. Jr.	
Inventor's Signature	<i>Horst Hoeffkes</i>			Date	22.5.03		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Carlo-Schmid-Str. 113						
Post Office Address							
City	40595 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	David	Middle Initial		Family Name	Rose	Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City	Hilden	State		Country	Germany	Citizenship	Great Britain
Post Office Address	Am Eichelkamp 223						
Post Office Address							
City	40723 Hilden	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
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City		State		Zip		Country	
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Pag 2

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OR

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Mary K. Cameron	34,789		

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Name	Gregory M. Hill				
Address	Henkel Corporation				
Address	2500 Renaissance Blvd, Suite 200				
City	Gulph Mills	State	PA	Zip	19406
Country	USA	Telephone	-610-278-4964	Fax	610-278-6548

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Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Frank	Middle Initial		Family Name	Naumann
Inventor's Signature				Date	
Residence, City	Duesseldorf	State		Country	Germany
Post Office Address	Wupperstrasse 3				
Post Office Address					
City	40219 Duesseldorf	State		Country	Germany
		Zip		Applicant Authority	

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Given Name	Detlef	Middle Initial		Family Name	Hollenberg	Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City	Erkrath	State		Country	Germany	Citizenship	Germany
Post Office Address	Friedenweg 31						
Post Office Address							
City	40699 Erkrath	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Horst	Middle Initial		Family Name	Hoeffkes	Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Carlo-Schmid-Str. 113						
Post Office Address							
City	40595 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	David	Middle Initial		Family Name	Rose	Suffix e.g. Jr.	
Inventor's Signature	<i>David Rose</i>			Date	22/1/03		
Residence: City	Hilden	State		Country	Germany	Citizenship	Great Britain
Post Office Address	Am Eichelkamp 223						
Post Office Address							
City	40723 Hilden	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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